



JULY 30, 2001

ALLERGAN, INC.  
FRANK J. UXA  
P.O. BOX 19534  
2525 DUPONT DRIVE  
IRVINE, CA 92612



17416 CF  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/03/2001

REEL/FRAME: 011791/0494  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YUAN, YANG-DAR

DOC DATE: 03/22/2001

ASSIGNOR:

THACHER, SCOTT M.

DOC DATE: 03/23/2001

ASSIGNOR:

KLEIN, ELLIOTT S.

DOC DATE: 04/12/2001

ASSIGNOR:

CHANDRARATNA, ROSHANTHA A.

DOC DATE: 03/12/2001

ASSIGNEE:

ALLERGAN SALES INC.  
2525 DUPONT DRIVE  
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 09848159  
PATENT NUMBER:

FILING DATE: 05/03/2001  
ISSUE DATE:

011791/0494 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

05-16-2001

Sheet No.: D2977

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Yang-Dar Yuan
2. Scott M. Thacher
3. Elliott S. Klein
4. Roshantha A. Chandraratna

05/03/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 3-22-01/3-23-01/4-12-01/ 3-12-01

2. Name and address of receiving party(ies):

Name: Allergan Sales Inc.

Internal Address: \_\_\_\_\_

Street Address: 2525 Dupont Drive

City: Irvine State: CA ZIP: 92612

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 4-12-2001

A. Patent Application No.(s)

09/848159

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Allergan, Inc.

Internal Address: P.O. Box 19534

Street Address: 2525 Dupont Drive

City: Irvine State: CA ZIP: 92612

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

01-0885

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank J. Uxa

Name of Person Signing

Signature

May 3, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

J1046 U.S. PTO  
09/848159  
05/03/01

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ASSIGNMENT

WHEREAS, we, YANG-DAR YUAN, of the County of Orange, State of California, SCOTT M. THACHER, of the County of Orange, State of California, ELLIOTT S. KLEIN, of the County of Los Angeles, State of California, and ROSHANTHA A. CHANDRARATNA, of the County of Orange, State of California, have invented certain new and useful improvements in **METHODS OF TREATING HYPERLIPIDEMIA** which said YANG-DAR YUAN has this 22 day of MARCH, 2001, SCOTT M. THACHER, has this 23 day of MARCH, 2001, ELLIOTT S. KLEIN has this 12 day of APRIL, 2001, and ROSHANTHA A. CHANDRARATNA has this 12<sup>th</sup> day of MARCH, 2001, executed application papers for United States Letters Patent thereon; executed application papers for United States Letters Patent thereon; and

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other valuable consideration paid to me by Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612, receipt of which is hereby acknowledged, and intending to be legally bound, we do hereby assign unto said Allergan Sales, Inc., its successors, and assigns, the entire right, title and interest in and to the said invention, said executed application, any divisional, continuation and continuation-in-part of said application, and all Letters Patent of the United States and all foreign countries to be obtained therefore;

We further assign to said Allergan Sales, Inc. the right, optionally in its own name or in the names of its related companies, to apply for, obtain and maintain in all countries foreign to the United States, patent and/or Utility Model applications for said invention, including the full right to claim for any such application the benefits of any priority rights based on said executed United States application;

And we agree to execute further instruments (including divisional, continuation, continuation-in-part or reissue applications or other instruments) proper to effectuate the premises, this agreement to be binding upon my heirs, executors, and administrators;

And we request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue Letters Patent in accordance herewith.

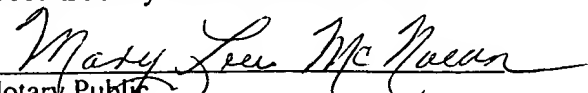
Executed this 22<sup>nd</sup> day of March, 2001.

  
YANG-DAR YUAN

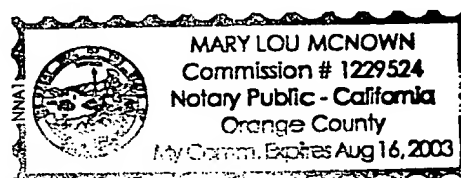
State of California     )  
                                      ) ss  
County of Orange     )

On this 22<sup>nd</sup> day of MARCH, 2001 before me, MARY LOU MCNOWN, personally appeared YANG-DAR YUAN ~~personally known to me or proved to me on the basis of satisfactory evidence to be the person(s)~~ whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

  
Notary Public

SEAL



Executed this 23<sup>rd</sup> day of March, 2001.

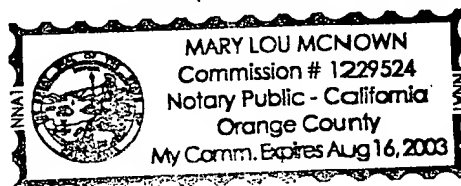
Scott M Thacher  
SCOTT M. THACHER

State of California )  
 ) ss  
County of Orange )

On this 23<sup>rd</sup> day of MARCH, 2001 before me, MARY LOU MCNOWN, personally appeared **SCOTT M. THACHER** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ she/they executed the same in ~~his~~ her/their authorized capacity(ies), and that by ~~his~~ her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNow  
Notary Public



SEAL

Executed this \_\_\_\_ day of \_\_\_\_\_, 2001.

ELLIOTT S. KLEIN

State of California )  
 ) ss  
County of Orange )

On this \_\_\_\_ day of \_\_\_\_\_, 2001 before me, \_\_\_\_\_, personally appeared **ELLIOTT S. KLEIN** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

SEAL

Executed this 23<sup>rd</sup> day of March, 2001.

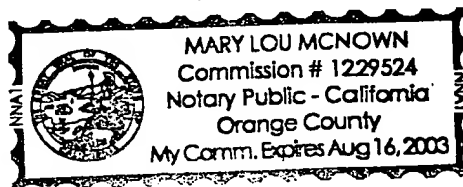
Scott M Thacher  
SCOTT M. THACHER

State of California )  
 ) ss  
County of Orange )

On this 23<sup>rd</sup> day of MARCH, 2001 before me, MARY LOU MCNOWN, personally appeared SCOTT M. THACHER personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ ~~they~~ executed the same in ~~his~~ ~~her~~ ~~their~~ authorized capacity(ies), and that by ~~his~~ ~~her~~ ~~their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNow  
Notary Public



SEAL

Executed this 12<sup>th</sup> day of April, 2001.

Elliott S. Klein  
ELLIOTT S. KLEIN

Connecticut  
State of ~~California~~ )  
 Fairfield ) ss  
County of ~~Orange~~ )

On this 12<sup>th</sup> day of April, 2001 before me, Lisa A. Pokorak, personally appeared ELLIOTT S. KLEIN personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Lisa A. Pokorak  
Notary Public

**LISA A. POKORAK**  
Notary Public  
My Commission Expires June 30, 2005

SEAL.

Executed this 12<sup>th</sup> day of March, 2001.

Roshantha A. Chandraratna  
 ROSHANTHA A. CHANDRARATNA

State of California     )  
                                       ) ss  
 County of Orange     )

On this 12<sup>th</sup> day of MARCH, 2001 before me, MARY LOU MCNOWN, personally appeared **ROSHANTHA A. CHANDRARATNA** personally known to me or ~~proved to me on the basis of~~ ~~satisfactory evidence~~ to be the person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNow  
 Notary Public

SEAL

